

Limitations of Perfect Security

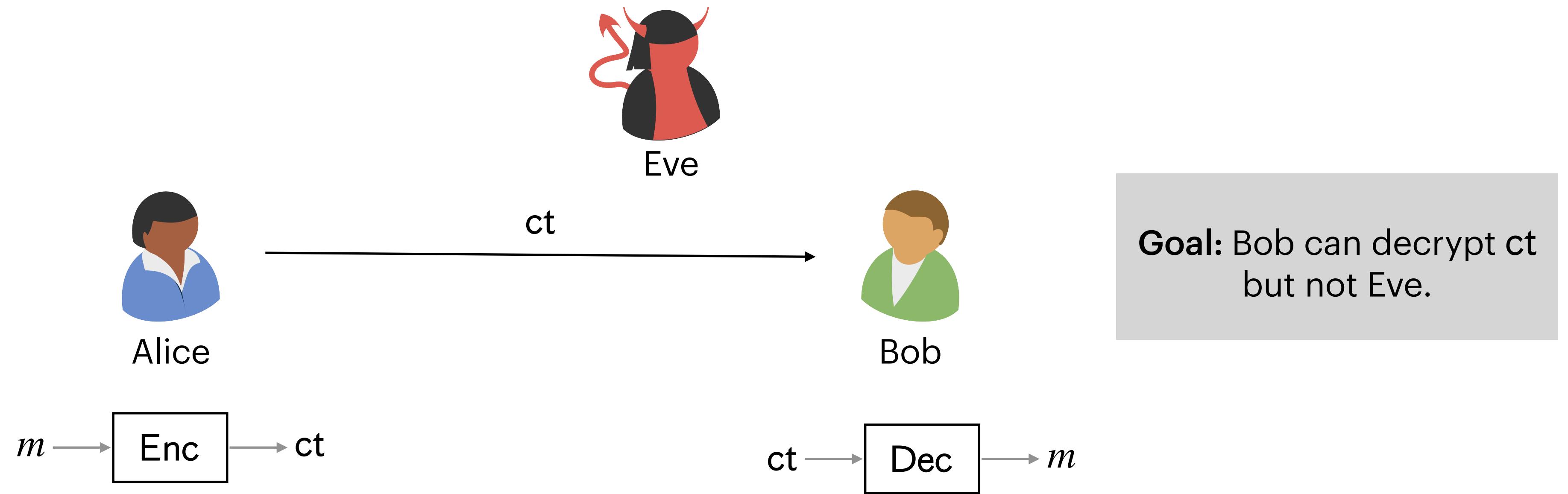
601.442/642 Modern Cryptography

27th January 2026

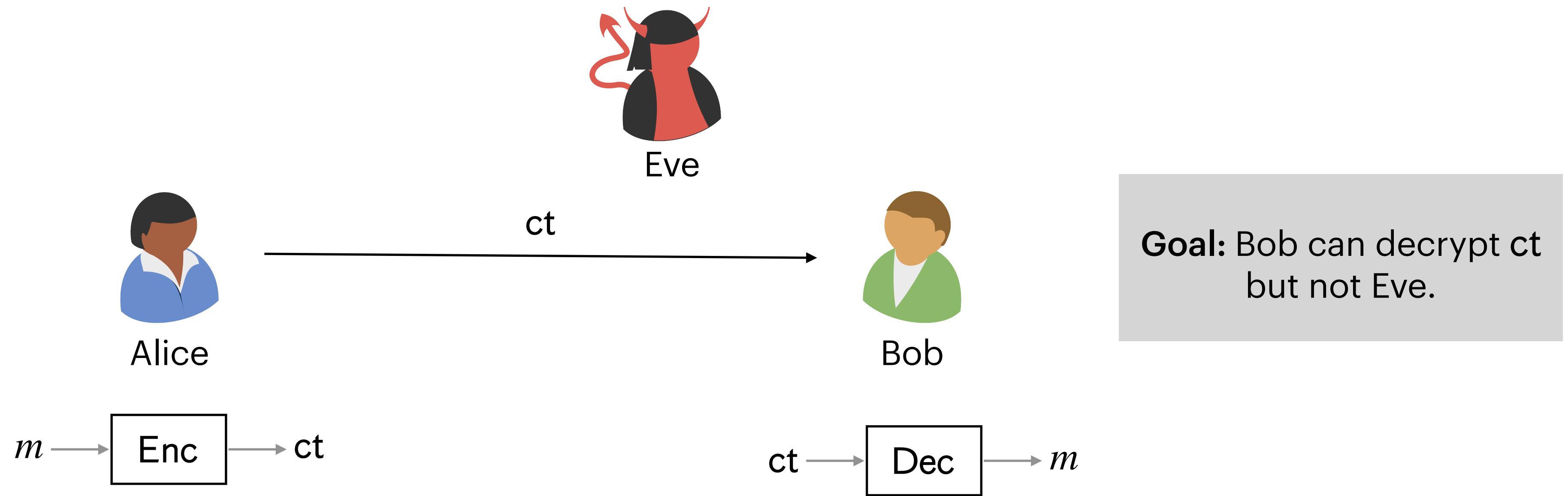
Announcement

- Homework 1 due this **Thursday** (29th January)
- Please start early and come to office hours with any questions!

Recap: Encryption Scheme



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Kerckhoffs' Principle: The security of a cryptosystem shouldn't rely on the secrecy of the algorithm (only the key)

Recap: Encryption Scheme Syntax and Correctness

Encryption Scheme Syntax

An encryption scheme consists of three (possibly probabilistic) algorithms:

- $\text{KeyGen}() \rightarrow k$ outputs a key $k \in \mathcal{K}$.
- $\text{Enc}(k, m) \rightarrow \text{ct}$ takes key k and message $m \in \mathcal{M}$ and outputs ciphertext $\text{ct} \in \mathcal{C}$.
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Encryption Scheme Correctness

An encryption scheme satisfies correctness if $\forall k \in \mathcal{K}, \forall m \in \mathcal{M}$, we have

$$\Pr[\text{Dec}(k, \text{Enc}(k, m)) = m] = 1,$$

where the probability is over the randomness used in encryption and decryption.

Recap: One-Time Uniform Ciphertext Security

- What the security definition should capture for encryption schemes like OTP
 - The secret key should be kept hidden from Eve.
 - The key is only used to encrypt one plaintext.
 - The ciphertext looks uniformly random to Eve.

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One-Time Uniform Ciphertext Security

An encryption scheme is one-time uniform ciphertext secure if $\forall m \in \mathcal{M}$,

$$D_0 = \left\{ ct : \begin{array}{l} k \leftarrow \text{KeyGen}() \\ ct \leftarrow \text{Enc}(k, m) \end{array} \right\} \quad \equiv \quad D_1 = \left\{ ct : ct \xleftarrow{\$} \mathcal{C} \right\}$$

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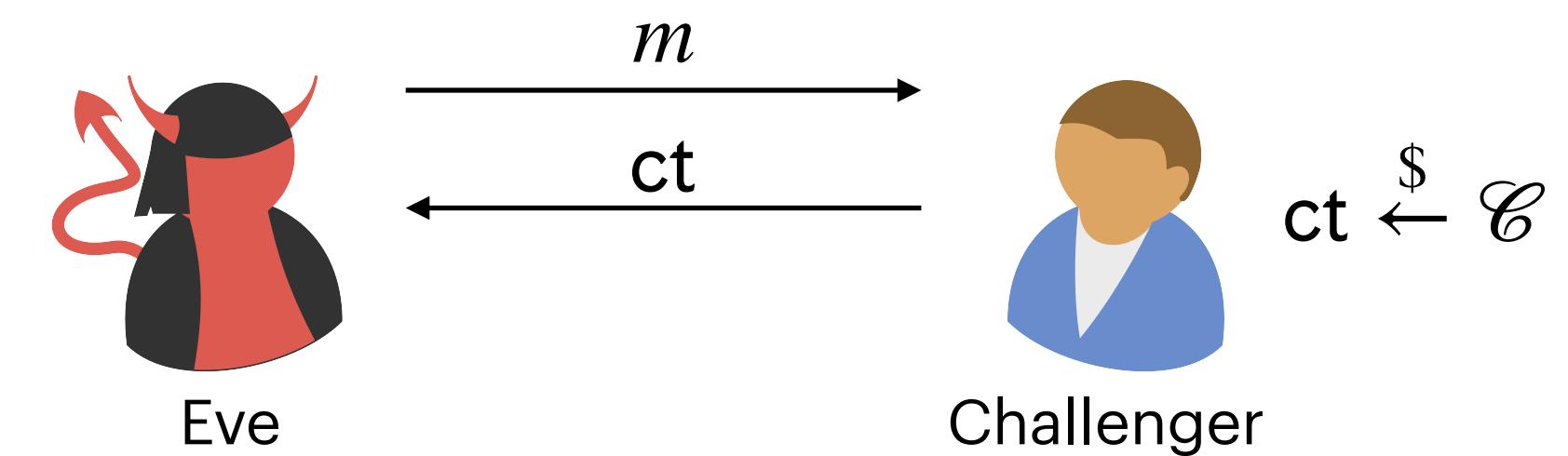
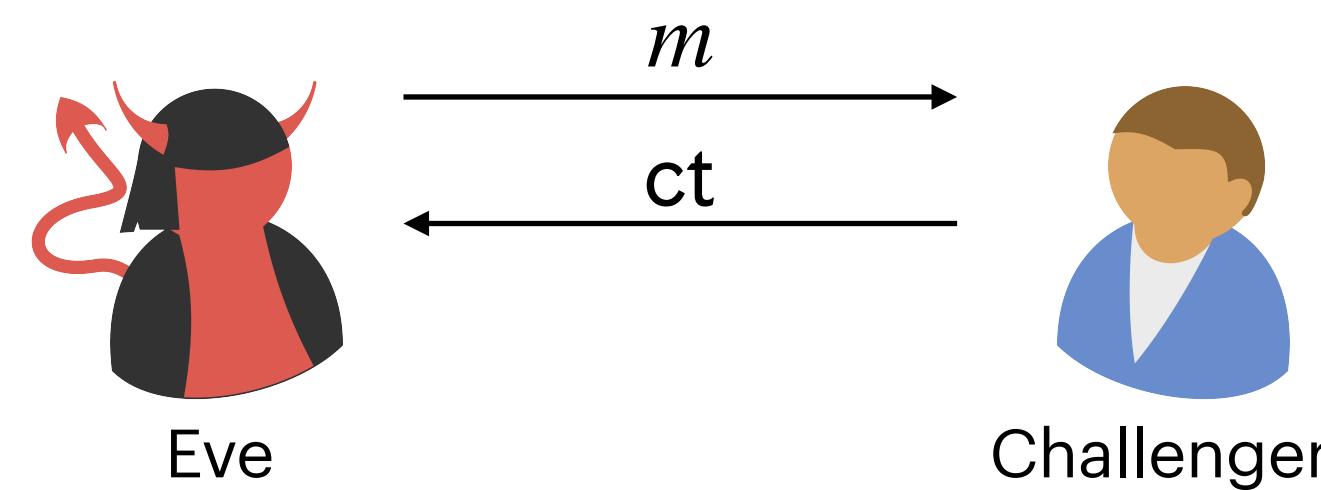
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Security: D_1 carries no information about the message

Alternative View of One-Time Uniform Ciphertext Security

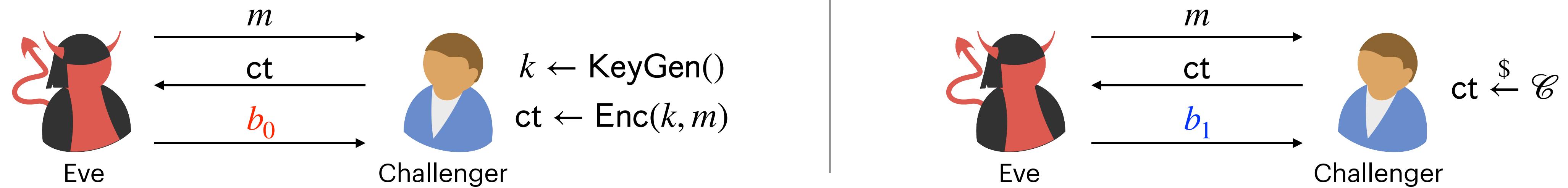
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Encryption scheme is one-time uniform ciphertext secure if the above two scenarios [seem identical](#) to Eve.

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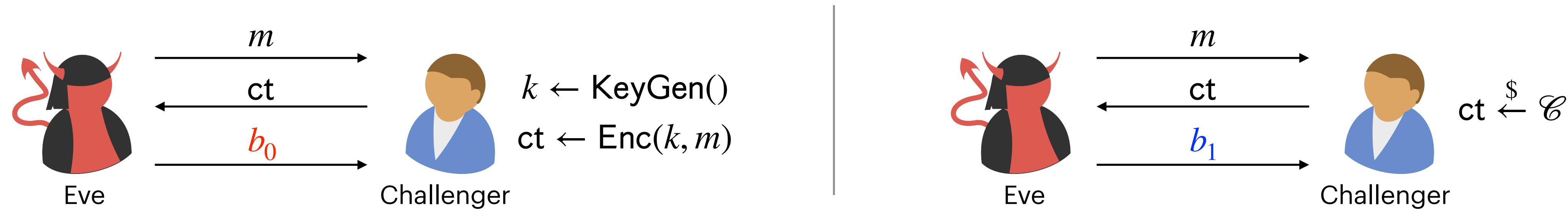
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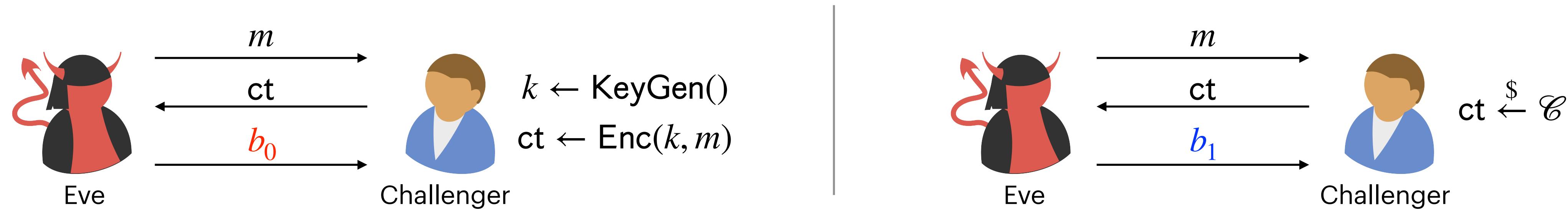
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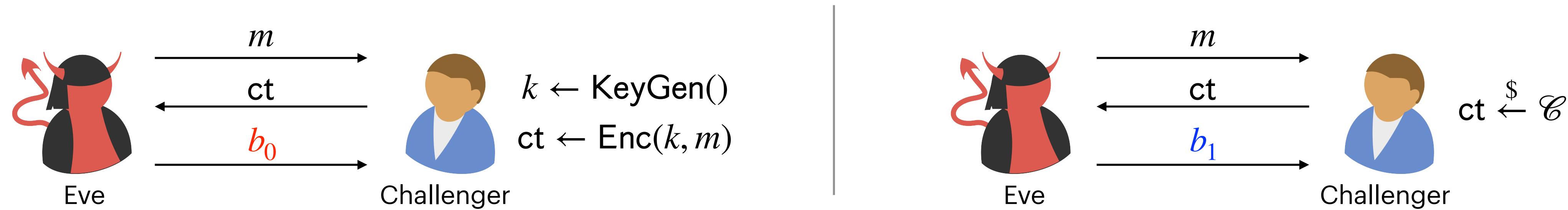
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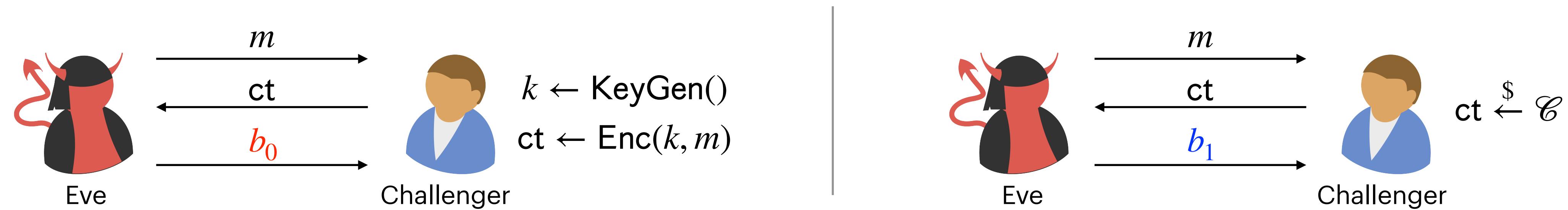
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- Interaction with a challenger helps model what Eve can see during encryption and what remains hidden.
- Eve is allowed to [choose the plaintext](#). If the scheme is secure when Eve chooses the plaintext, it is secure when she has only partial information about the plaintext.
- [Equivalent](#) to the previous definition of one-time uniform ciphertext security.

Recap: One-Time Pad

One-Time Pad

Let λ be a positive integer and let $\mathcal{K} = \mathcal{M} = \mathcal{C} = \{0,1\}^\lambda$.

- $\text{KeyGen}(): k \xleftarrow{\$} \{0,1\}^\lambda$.
- $\text{Enc}(k, m): \text{ct} := k \oplus m$.
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Theorem: One-time pad is **correct** and has **one-time uniform ciphertext security**.

Recap: Perfect Security

- An alternative idea for defining security of encryption schemes.
 - The secret key should be kept hidden from Eve.
 - The key is only used to encrypt one plaintext.
 - ~~The ciphertext looks uniformly random to Eve.~~ Encryptions of m_0 look like encryptions of m_1 to Eve.

(One-Time) Perfect Security

An encryption scheme is one-time perfectly secure if $\forall \textcolor{red}{m}_0, \textcolor{blue}{m}_1 \in \mathcal{M}$,

$$D_0 = \left\{ \text{ct} : \begin{array}{l} k \leftarrow \text{KeyGen}() \\ \text{ct} \leftarrow \text{Enc}(k, \textcolor{red}{m}_0) \end{array} \right\} \quad \equiv \quad D_1 = \left\{ \text{ct} : \begin{array}{l} k \leftarrow \text{KeyGen}() \\ \text{ct} \leftarrow \text{Enc}(k, \textcolor{blue}{m}_1) \end{array} \right\}$$

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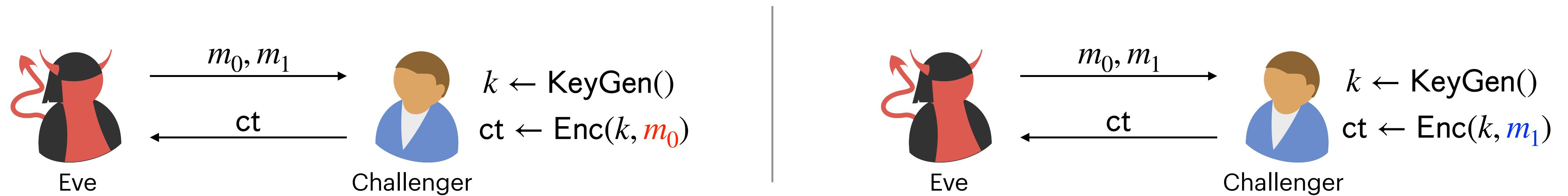
Security: The ciphertext distribution is independent of the message.

Alternative View of Perfect Security



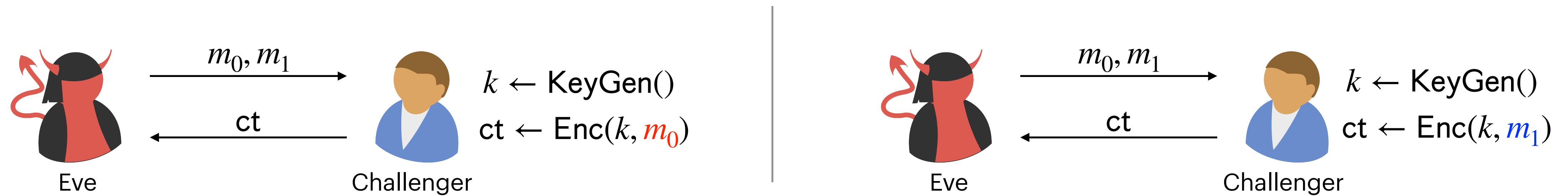
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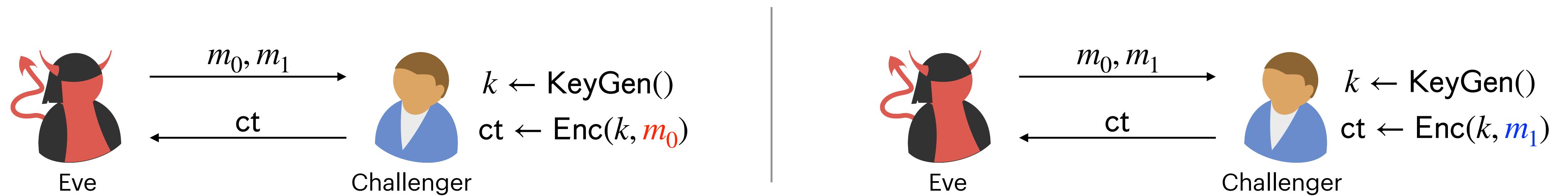
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- **Equivalent** to the previous definition of perfect security.

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Claim: If an encryption scheme is **one-time uniform ciphertext secure**, then it is also **perfectly secure**.

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Corollary: **One-time pad** is **perfectly secure**.

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Perfect security exactly captures our intuition.

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Extends immediately to **t -message** perfect security: $|\mathcal{K}| \geq |\mathcal{M}|^t$.

Limitations of Perfect Security

Theorem (Shannon): Any perfectly secure encryption scheme with key space \mathcal{K} and message space \mathcal{M} satisfies

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- Since there are $|\mathcal{M}|$ messages, there must be **at least** $|\mathcal{M}|$ keys. Thus, $|\mathcal{K}| \geq |\mathcal{M}|$.

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$$D_0 \stackrel{\epsilon}{\approx} D_1 \text{ if}$$

$$|\Pr[\textcolor{red}{b}_0 = 1] - \Pr[\textcolor{blue}{b}_1 = 1]| \leq \epsilon$$

where the probability is over the randomness of `KeyGen` and `Enc`.

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Shannon’s theorem can be extended to show that statistically secure encryption schemes **still require long keys**.

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1/8	011	001
1/8	100	110
1/8	101	111
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- What if we relax security to only hold against attacks that are feasible to carry out?

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